





### Welcome to the

# **ERC Advanced Grants webinar**

The webinar will start at 13.30.













The organizers: the ERC National Contact Points (NCPs) in Belgium

### **NCP Flanders**

Flemish institutions

Margot Beereboom

www.ncpflanders.be

**NCP FLANDERS** 

### **NCP-FNRS**

Fédération Wallonie Bruxelles

Natacha Wittorski

www.ncp.fnrs.be



### **NCP Federal BE**

Federal institutions

**Bram Lefever** 

https://ncpfederal.belspo.be









## Programme of the webinar 1/2

- 13h30 13h35: Welcome
- 13h35 -14h00: ERC 2021 Advanced Grants

Iliana Nikolova, ERCEA, Advanced Grant call coordinator Sol Sayans, ERCEA, Advanced Grant call coordination team Carolina Ávila, ERCEA, Unit B1, ethics team

- 14h00 14h25: Q&A with audience
- 14h25 14h30: Short break







## Programme of the webinar 2/2

- 14h30 Social Sciences and Humanities Session
- Inge Bertels, UAntwerpen, previous member SH 5 panel
- Paola Conconi, ULB, grantee 2018 call, SH 1
- 15h00 Life Sciences Session
- Karin Sipido, KULeuven, previous member and chair of the LS 4 panel
- Kodi Ravichandran, UGent/VIB, grantee 2018 call, LS 3
- 15h30 Physical Sciences and Engineering Session
- Denis Dochain, UCLouvain, previous member PE 8 panel
- Michel Van den Bergh, UHasselt, grantee 2019 call, PE 1
- 16h00 Questions







### Useful info



 Send your written questions to speakers using Q&A and mention to whom your question is addressed.



- Use the chat for non-content related questions/comments.



- You will also be able to intervene orally after each session.



- Slides & recording of ERCEA presentation later on <a href="https://www.horizon-europe-info-sessions.be/event/erc-advanced-grants-webinar/">https://www.horizon-europe-info-sessions.be/event/erc-advanced-grants-webinar/</a>



### **ERC 2021 Advanced Grants**

Iliana Nikolova, Sol Sayans
Advanced Grants Call Coordination
ERC-2021-ADG-APPLICANTS@ec.europa.eu

ERC AdG 2021 Webinar, Belgium 26 March 2021





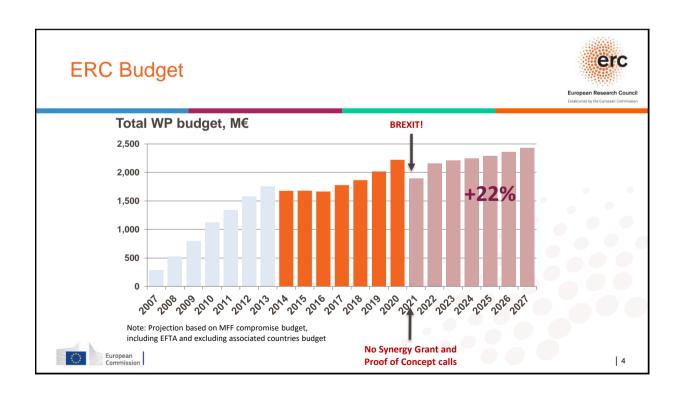
### **Outline**

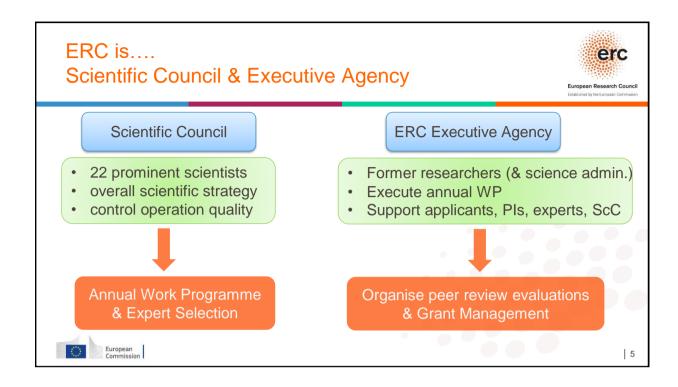


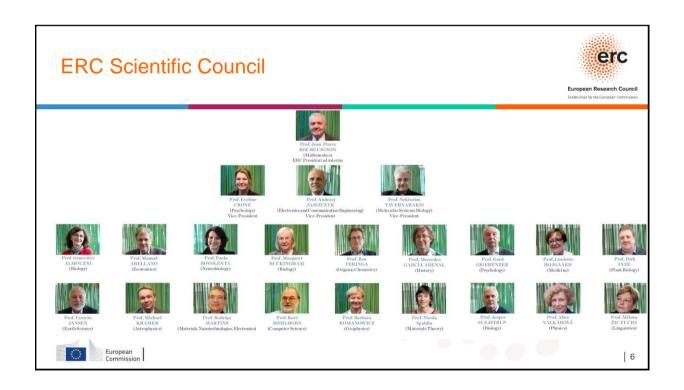
- ERC Overview
- · Advanced Grants statistics for Belgium
- Advanced Grants 2021 novelties
- Ethics issues
- Frequently asked questions



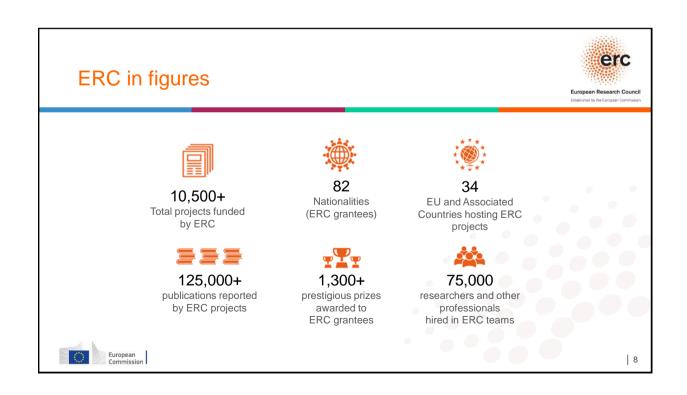




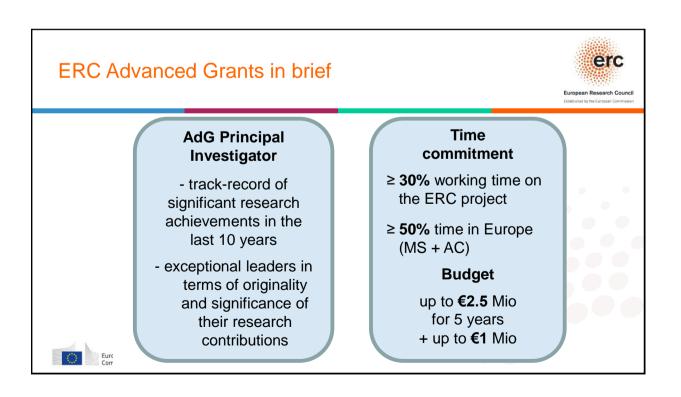








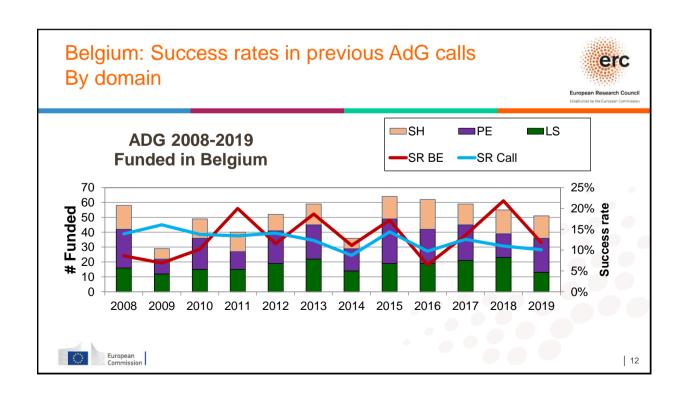
### erc ERC 2021 Call Calendar **ERC** calls Call Opening Submission Deadline **Starting Grants** 25/02/2021 8/04/2021 ERC-2021-StG Consolidator Grants 11/03/2021 20/04/2021 ERC-2021-CoG **Advanced Grants** 20/05/2021 31/08/2021 ERC-2021-AdG **Proof of Concept** No Proof of Concept call in ERC WP 2021 ERC-2021-PoC **Synergy Grants** No Synergy Grant call in ERC WP 2021 ERC-2021-SyG European Commission 9

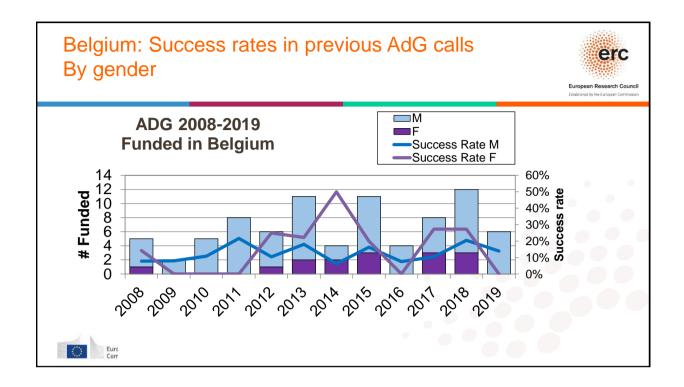


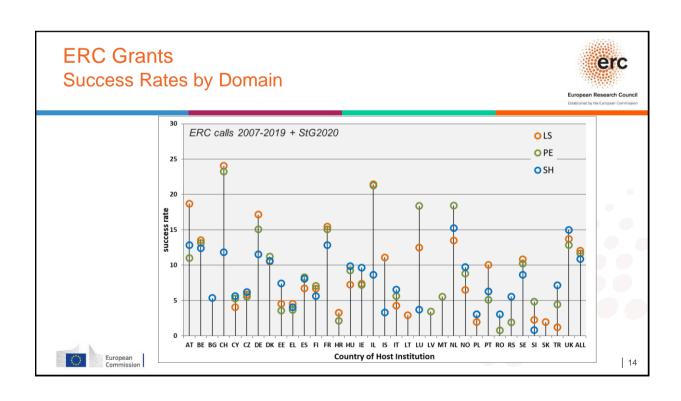
# Resubmission restrictions The resubmission restrictions based on previous applications are maintained in 2021: C at Step 1 in StG, CoG or AdG in 2019 B or C at Step 1 in StG, CoG or AdG in 2020 C at Step 1 in Synergy Grant in 2020

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### Main changes in the AdG 2021 call



- Panel Structure: 27 panels (2 new panels, PE11 and SH7)
- Interviews: for 1st time in AdG
- Some new parts in the Administrative forms



### **ERC** Panel structure

https://erc.europa.eu/news/new-erc-panel-structure-2021-and-2022



3 Domains / 27 Panels

### Life Sciences (LS)

- LS1 Molecules of Life: Biological Mechanisms, Structures and Functions
- LS2 Integrative Biology: from Genes and Genomes to Systems
- LS3 Cellular, Developmental and Regenerative Biology
- LS4 Physiology in Health, Disease and Aging
- LS5 Neuroscience and Disorders of the Nervous System
- LS6 Immunity, Infection and Immunotherapy
- LS7 Prevention, Diagnosis and Treatment of Human Diseases
- LS8 Environmental Biology, Ecology and Evolution
- LS9 Biotechnology and Biosystems Engineering

### Physical Sciences & Engineering (PSE) PE1 Mathematics

PE2 Fundamental Constituents of Matter

SH6 The Study of the Human Past

- PE3 Condensed Matter Physics
- PE4 Physical & Analytical Chemical Sciences

Social Sciences and Humanities (SSH)

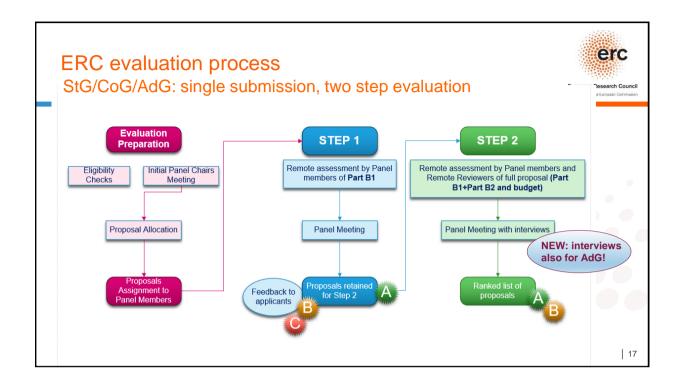
SH1 Individuals, Markets and Organisations

SH2 Institutions, Governance and Legal Systems
 SH3 The Social World and Its Diversity
 SH4 The Human Mind and Its Complexity
 SH5 Cultures and Cultural Production

SH7 Human Mobility, Environment, and Space (NEW)

- PE5 Synthetic Chemistry and Materials
- PE6 Computer Science and Informatics
- PE7 Systems and Communication Engineering
- PE8 Products and Processes Engineering
- PE9 Universe Sciences
- PE10 Earth System Science
- PE11 Materials Engineering (NEW)





### Interviews



Principal Investigators whose proposals are retained for step 2 of the evaluation will be invited for an interview to present their proposal to the evaluation panel

→ typically 5-10 min. presentation + 20-25 min. Q&A

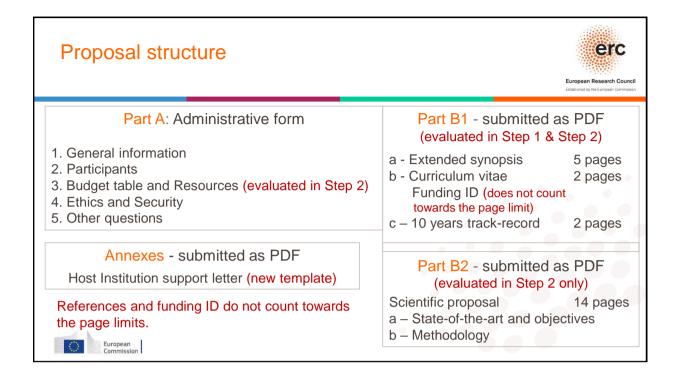
Each panel decides on the exact format of its interviews (duration, number of slides allowed, time allocated to the presentation and the question and answer session) which will be communicated to the successful applicants after Step 1.

How to prepare for your ERC interview:

https://www.youtube.com/watch?v=F4qXVGcdH5w&list=PLtv6FnsXqnXAYRk6HCErwMxwML0ZKoMcy&ind



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### Proposal budget



Up to 2.5 M€ for a period of 5 years + up to 1 M€ additional funding

Requested funding must be fully justified by estimation of real project cost

Project costs reimbursed at 100% funding rate (for actual incurred costs)

- + 25% indirect costs (flat-rate)
- 1 M€ additional funding can be requested for:
  - a) Start-up costs for moving to EU/AC from abroad
  - b) Purchase of large equipment
  - c) Access to large facilities

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d) other major experimental and field work costs (no personnel cost)

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### A few tips and advice



- Be ambitious and "daring"; panels instructed to seek out high-risk research
- Grab interest and attention of readers/ reviewers
- Remember that Part B1 will be seen by "generalists" (Panel Members)
- If you make it to Step 2, reviewers see both B1 and B2, so do not repeat / duplicate part B1 in part B2
- Justify requested resources explain your budget properly
- Get well prepared for the interview



Where can you find more information?



### **ERC 2021 Work Programme**

Information for Applicants to the Advanced Grant call (to be available on the ERC Website by the call opening)

For questions: **ERC-2021-ADG-APPLICANTS@ec.europa.eu** 

erc.europa.eu

Funding & Tender Opportunities

Our social media channels:











### Where can you find more information?





### Videos - ERC Classes

- What to consider before applying
- How to fill in the application (Part B1 and B2)
- The interview
- How the evaluation works

https://www.youtube.com/watch?v=x bFbzkVWgCU&list=PLtv6FnsXqnXA YRk6HCErwMxwML0ZKoMcy

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### Novelties in the administrative forms



- 1. Admissibility criteria
- 2. Gender Equality Plan
- 3. Career stage of the PI
- 4. Description of resources and time commitment
- Cost categories
- 6. Data Management Plan
- 7. New template for the HI support letter
- 8. Ethics and Security section



### Novelties in the administrative forms (cont.)



### Admissibility criteria

- All proposals must be complete, readable, and accessible.
- They must be submitted by eligible PI via the official online submission system before the relevant call deadline.
- Proposals which do not meet these criteria may be declared inadmissible.



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### Novelties in the administrative forms (cont.)

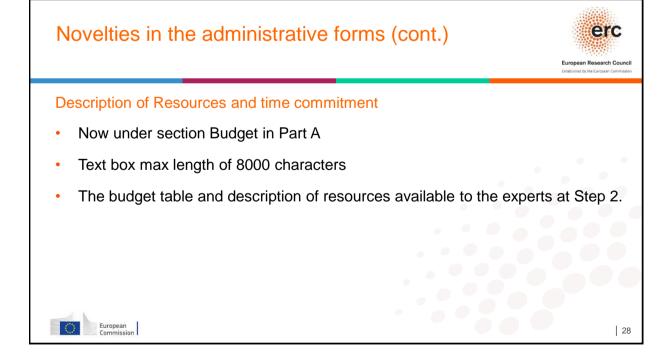


### Gender Equality Plan (GEP)

- 'yes/no' tick box question
- Only for Public bodies, Higher education establishments and Research organisations
- Information not available for evaluators
- In case the proposal is selected for funding, having a Gender Equality Plan will be necessary before the grant signature.



# Novelties in the administrative forms (cont.) PI Career Stage New field on the "career stage" of the PI It refers to the ones defined in Frascati 2015 manual (A) Top grade researcher (B) Senior researcher (C) Recognised researcher (D) First stage researcher



### Novelties in the administrative forms (cont.)



### Cost categories in the budget table

- A. Direct personnel costs (PI, senior staff, post docs, students, other personnel).
- B. Subcontracting costs (no indirect costs).
- C. Purchase costs (travel and subsistence, equipment, consumables, publications and dissemination, and other additional direct costs).
- D. Internally invoiced goods and services (no indirect costs).



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### Novelties in the administrative forms (cont.)



### Data Management Plan (DPM)

- As from 2021 it is no longer possible for applicants to opt out of the submission of Research Data Management plans
- Brief plan to define what data sets the project will generate or process and how they will be curated, stored and preserved.
- A first version must be submitted within the first six months of the project implementation.



# Novelties in the administrative forms (cont.) European Research Council Educator by the Lucuser Corresson Host Institution Support letter Please note that the template has changed – please use the new 2021 template Reference to the Horizon Europe The provisions are the same but have been rearranged Ethics and security section

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# What is expected from the applicant? (Ethics Self-Assessment – PDF)



- **Ethics Issues and security tables**
- . Ethics self-assessment
- Annexes can be included (approvals, authorizations,...)



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### **Activities raising ethics issues in HE**

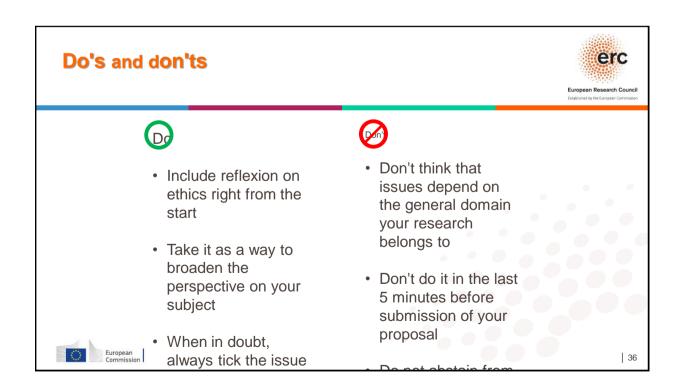


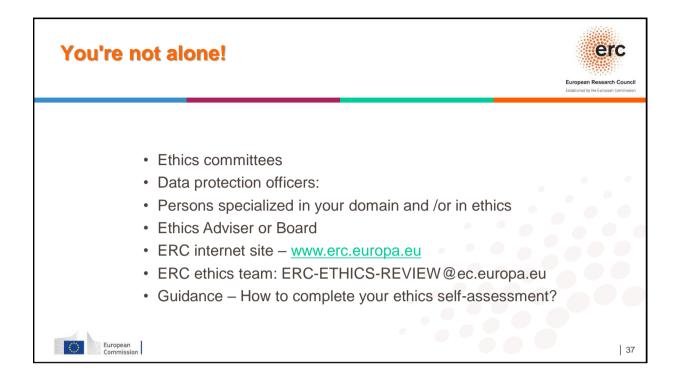
- 1. Human embryonic stem cells (hESCs) & human embryos
- 2. Research involving humans
- 3. Human cells or tissues
- 4. Personal data
- 5. Animals
- 6. Non-EU countries
- 7. Environment, health and safety
- 8. Artificial intelligence
- 9. Other ethics issues

Crosscutting issue: potential misuse of results











### **FAQs**



How to deal with multidisciplinary project proposals that are in between the life sciences and physical sciences and hence do not fit one ERC panel, but bridge multiple panels? Should I target/write it to one specific ERC panel? Which panel is best?

Each proposal is allocated to one specific panel for evaluation, however reviews from other relevant panels may be requested in case of cross-panel/cross-domain proposals.

In the online submission form (Part A):

- select the ERC panel that is closest to the proposed research (Primary review panel)
- where applicable, another relevant panel can be indicated (Secondary panel)

In Part B1: a textbox to explain and justify the cross-panel/cross-domain nature of the proposal

Is multidisciplinary research appreciated more than single-discipline research?

The ERC encourages multi and interdisciplinary research proposals.

The only evaluation criterion is excellence.



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### **FAQs**



Are potential spin-offs of industrial interest appreciated in the evaluation of the project?

The Host Institution is not an evaluation criterion.

How important is the criterion that the PI must have a team. I have 5 doctoral students and we work as a team but I doubt that this counts as an established team.

Why is the career path of our former students a selection criteria and how important is it? this is difficult to control...

There is no formal requirement to have a team.

What the panel is going to evaluate is whether the PI has demonstrated sound leadership in the training and advancement of young scientists.



### **FAQs**



What are the "minimum scientific and/or academic requirements" that are necessary to apply for an ERC Advanced Grant.

The profile of the ERC Advanced Grant Principal Investigator, as indicated in the ERC Work Programme, outlines the characteristics of competitive applicants and not their eligibility to the call

Pls competing for an Advanced Grant are expected to be recognized leaders with research accomplishments typical for their field of research.

Because each scientific domain has its own benchmarks/achievements, it is the PI who should know what the standard practice for publications in her/his research field is.



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### **FAQs**



Does a successful ERC Advanced Grant candidate write his/her proposal himself/herself? or does he/she usually hire someone to write the proposal?

In our opinion, there is no one better than the PI himself/herself to write the scientific proposal.

Do you need a consultant to rewrite your previously submitted proposal in order to resubmit?

In our opinion, no. Peer reviewers are good at spotting when a proposal is written by the scientist or a consultant however this shouldn't impact the evaluation.

Note that even if you adress all the recomendations of the panel from a previous submission, there is no quarantee for the success of the new submission – the panels aternate and are partly renewed and the set of the competing proposals is different in each call.

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### **FAQs**



Have you considered the extension of the time window for the 10-year track record

AdG 2021 applicants may present their achievements over a longer period than the past ten years only under the circumstances outlined in the ERC Work Programme (maternity leave, paternity leave, long-term illness, clinical qualification or national service).

The circumstances should be clearly explained in the career break section of their CV.



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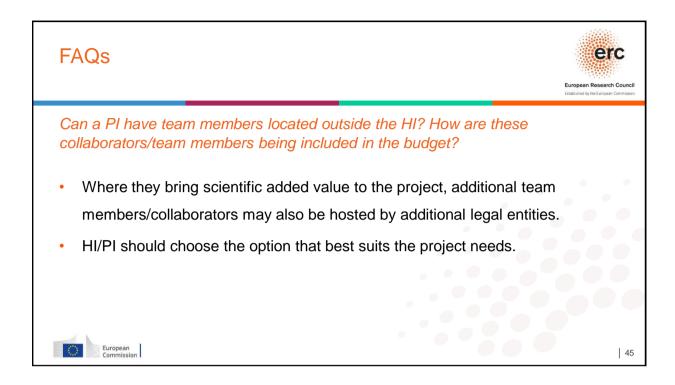
### **FAQs**

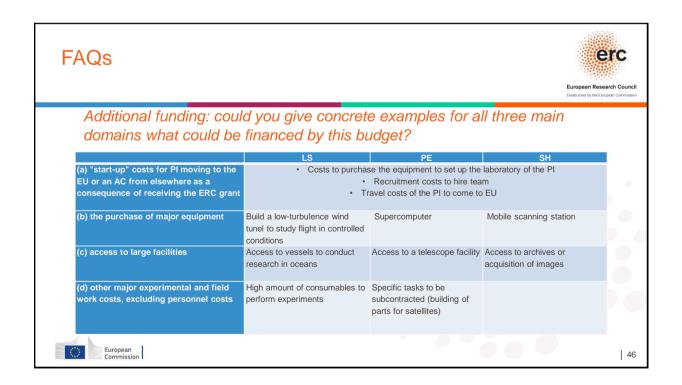


If the applicant does not have a permanent position, how should the application process be? Does the fund cover salaries of the applicant to be hired?

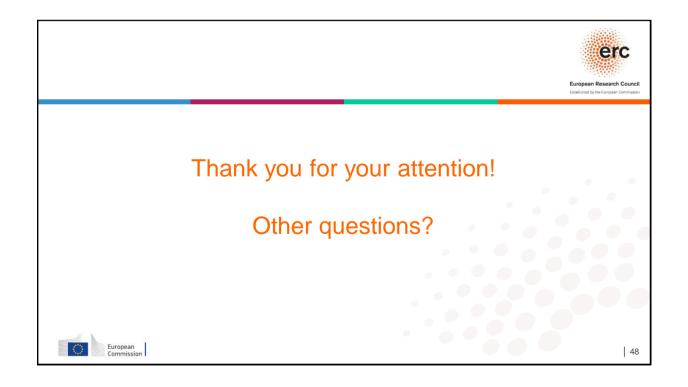
- The PI does not need to be employed by the HI at the time of submission of the proposal.
- The grant can cover personnel costs of all team members

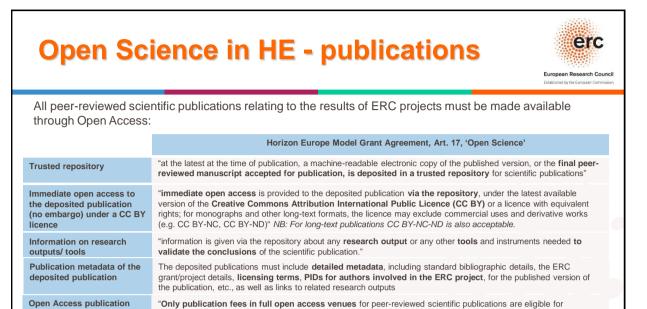






# FAQs Do you have any statistics on how many applicants that go through the first, second and third time they applied for ERC AdG? Second applications have a higher chance of succeeding than the first ones The success rates of unsuccessful applicants at resubmission is 10% for "unfunded at Step 1" and 23% for applicants "unfunded at Step 2".

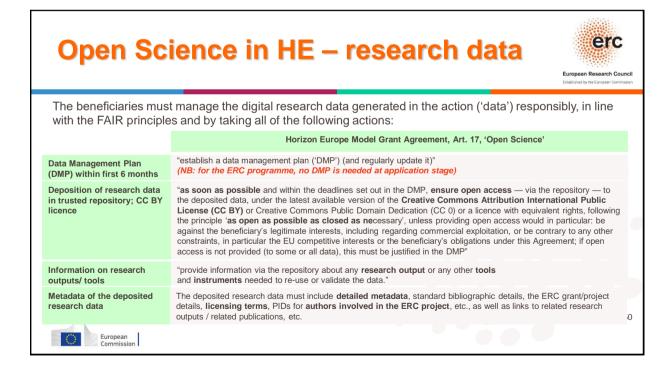




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fees (APC, BPC, other fees)

reimbursement.



### Incremental research



- Do not go for incremental research (doing more of the same)
- Pay particular attention to the ground-breaking nature of the research project
- Take research and knowledge far beyond the state of the art
- Think big!









### 14h30 - Social Sciences and Humanities Session

- Prof. Inge Bertels
  - Universiteit Antwerpen, Faculty of Design Sciences
  - previous member SH 5 Cultures and cultural production panel
- Prof. Paola Conconi
  - Université libre de Bruxelles / Maître de recherches F.R.S.-FNRS, European Center for Advanced Research in Economics and Statistics (ECARES)
  - grantee 2018 call, "TRASC Trade Agreements and Supply Chains", panel SH1 Individuals,
     Markets and Organisations
- Questions







### 15h00 - Life Sciences Session

- Prof. Karin Sipido
  - KULeuven, Faculty of Medicine, Department of Cardiovascular Sciences
  - previous member and chair of the LS4 panel
- Prof. Kodi Ravichandran
  - UGent/VIB Center for Inflammation Research
  - grantee 2018 call, "Sperm-Egg Phusion Unexpected connections between a phagocytic machinery and mammalian fertilization", LS3
- Questions

# **ERC** in Horizon Europe

- Delays in decision.. but strong budget seems secure
  - from 13 > 16 billion Euro
  - UK 'pay as you go'
  - next call could have better success rate (2020 AdG <10%..)</li>
- Impact expected through excellent science
  - excellent science as one of the pillars in Horizon Europe
  - continuous process of fine-tuning by the ERC Scientific Council
    - interviews
    - panel structure



# Panel workings

step 1 / step 2 / chair meetings > feedback Scientific Council

# Implemented by ERC Scientific Council

- balance ERC + non-ERC grantees
- expertise / gender / ...
- members not eligible to apply
- autonomy within policy boundaries
- supervised

### Referee selection

- panel members = referee
- external (Prophy tool) expertise
- building experience as referee and panel member



# PI and project < > project and PI

- Scoring gives equal weight
  - variability in scores from external reviewers
  - panel autonomy in weighing
  - scores are only guidance for the panel, comments are more important
- Both elements to be well prepared / presented
  - in step 1 panel evaluation only part B1

# PI and CV

## A pool of excellent scientists – how to stand out?

- Ground-breaking research
  - a core set of achievements
  - quality > quantity
  - positioning in the field
- Expertise and capacity to execute the program
  - institution is not to be considered
  - PI-driven and executed <> collaborative projects or Synergy grant
- Leadership in training and advancement of young scientists
  - must be addressed
- Free comments...



# The project

### **Ground-breaking nature and potential impact**

- important challenges scientific advance
- ambitious novel concepts and approaches or development between or across disciplines
- high risk/high gain.. a difficult concept > emphasis on 'ideas'
- preliminary data
  - ✓ unpublished
  - √in B1
- graphical abstract



# The project

### **Scientific Approach**

- research methodology and working arrangements appropriate
- development of novel methodology
- time scales, resources and PI commitment adequate
- variable treatment by referees cross-over with content evaluation
- novel methodology yours? unpublished? feasibility?
- resources available / from the project budget...

Learning curve – success of re-applications / 2-3<sup>rd</sup> grantees



# **Budget proposal**

#### **Evaluation**

- listing of other funding honesty expected
  - evidence of success
  - robust resources
  - overlap
- ERC freedom to operate <> proper assignment
- Extra funding for relocation, equipment.. needs proper justification







### **ERC Advanced Grants webinar**

### 15h30 - Physical Sciences and Engineering Session

- Prof. Denis Dochain
  - UCLouvain, Institute for Information and Communication Technologies, Electronics and Applied Mathematics (ICTEAM)
  - previous member PE 8 panel
- Prof. Michel Van den Bergh
  - Universiteit Hasselt/FWO, Faculteit Wetenschappen, Vakgroep Wiskunde en statistiek / Part-time professor at VUB
  - grantee 2019 call, "Schemes Schobers, Mutations and Stability", PE 1
- Questions

16h00 - Questions

One central keyword : diversity

- scope, topics
- panel:
  - scientific expertise
  - geographical dispersion
  - way to express opinions (tough vs

soft)

#### **PE8** Products and Processes Engineering

Product and process design, chemical, civil, environmental, mechanical, vehicle engineering, energy processes and relevant computational methods

Aerospace engineering

Chemical engineering, technical chemistry

Civil engineering, architecture, offshore construction, lightweight construction, geotechnics

Computational engineering

Fluid mechanics

Energy processes engineering

Mechanical engineering

Propulsion engineering, e.g. hydraulic, turbo, piston, hybrid engines

Production technology, process engineering

Manufacturing engineering and industrial design

Environmental engineering, e.g. sustainable design, waste and water treatment, recycling, regeneration or recovery of compounds, carbon capture & storage

Naval/marine engineering

Industrial bioengineering

Automotive and rail engineering; multi-/inter-modal transport engineering

Diversity: opportunities or difficulties

ERC: major change of paradigm with respect to standard EC programmes

- Opportunities:
  - to learn new scientific ideas/challenges
  - to share scientific views
    - ... But is it the role of the panel?
- Difficulties/challenges: Advanced grant projects are focused on a specific topic.
  - how to provide a sensible evaluation if you are not an expert in this specific niche?
  - how to reach a reasonable consensus around the table with a multi-expertise panel?
  - how to be fair in presence of very different way to express opinions?
  - for Non-European experts: how to appropriately perceive what is expected from the EC?

Interview of applicants: very much appreciated!

#### Context

- Michel Van den Bergh
- Area PE1 (Mathematics)
- Pure mathematics (Non-commutative algebraic geometry). Basic research!
- ▶ 2019 ERC Advanced Grant SCHEMES (Schobers, Stability and Mutations). Awarded first round.
- ▶ 1015047.50€. One PhD student and one Postdoc.

#### Writing the project

► No incremental research???







# **ERC Advanced Grants Webinar**

## **Support Services for Applicants**

26 March 2021













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